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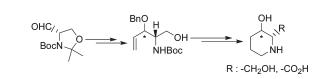
Facile Syntheses of Enantiopure 3-Hydroxypiperidine Derivatives and 3-Hydroxypipecolic Acids

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Facile syntheses of enantiopure *trans*- and *cis*-3-hydroxypiperidine derivatives and 3-hydroxypipecolic acids are reported, featuring Rh-catalyzed cyclohydrocarbonylation through common intermediates. A diaxial conformation in a 2,3-disubstituted *N*-Boc-piperidinyl structure is revealed by an X-ray crystallographic analysis.

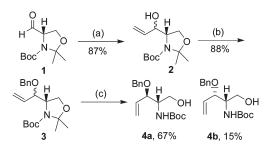
Azasugars are carbohydrate analogues in which a nitrogen atom substitutes for the oxygen atom in the ring, and they have been found in a number of plants and microorganisms.¹ Since the first discovery of nojirimycin in 1966,² azasugars have received a great deal of attention due to their significant bioactivity. Their physiological effects result from the similarity with transition-state analogues in many carbohydrateprocessing enzymes such as glycosidases and glycosyltransferases.^{3,4} Since azasugars are viewed as possible therapeutic agents to treat a number of diseases such as diabetes, viral infections, and tumor metastasis, they have attracted synthetic chemists' interests to develop various approaches. As a part of the project devoted to asymmetric syntheses⁵ of different azasugars and derivatives for pharmaceutical purposes, here we report the syntheses of enantiopure 3-hydroxypiperidine derivatives and 3-hydroxypipecolic acids

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through common intermediates via Rh-catalyzed cyclohydrocarbonylation.

Our syntheses commenced with vinyl addition on serinederived Garner's aldehyde (1),⁶ readily available in the (S)as well as the (R)-form, served as a synthetically useful chiral precursor for the construction of optically active molecules. Addition of commercially available vinylmagnesium bromide at -40 °C led to a mixture of two diastereomeric products 2 in 87% combined yield. Although separation of two isomers was possible, the separation cost prompted us to find a better solution. Thus, protection of the resulting hydroxyl group was carried out by treatment with benzyl bromide in the presence of 18-crown-6 ether to give benzyl ether 3 in 88% yield. Addition of the crown ether improved the yield and reduced the reaction time. Removal of the acetonide group proceeded smoothly in an acidic condition, affording separable olefins 4 in 90% combined yield. An HPLC method has been developed to determine the diastereomeric ratio as 4.0:1 (see the Supporting Information). Both diastereomers can be easily obtained by column chromatography to give the less polar diastereomer as the major product 4a in 67% isolated yield and the more polar product as the minor product 4b in 15% isolated yield (Scheme 1).

SCHEME 1^a



^{*a*}Reagents and conditions: (a) CH₂=CHMgBr (2.0 equiv), THF, -40 °C; (b) BnBr (1.5 equiv), NaH (1.8 equiv), 18-crown-6 ether (0.5 equiv), THF, 0 °C to rt; (c) PTSA (13 mol %), MeOH, rt.

Rh-catalyzed cyclohydrocarbonylation provides a powerful tool for preparation of piperidine derivatives.^{7,8} It can be viewed as a domino-type reaction, consisting of three consecutive reactions (Scheme 2). It starts with linear-selective hydroformylation of monosubstituted alkene (I) to linear aldehyde (II), followed by intramolecular addition of a carbamate to give hemiamidal (III). Hemiamidal (III) may undergo either solvent substitution to amidal (V, in a protic solvent), or dehydration to encarbamate (VI, in an aprotic solvent) via a common iminium intermediate (IV).

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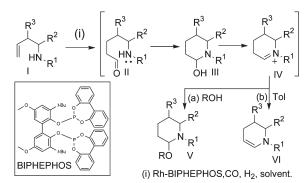
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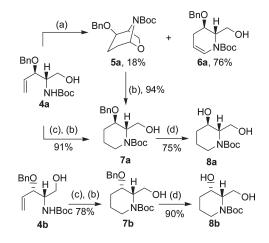
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SCHEME 2. Rh-Catalyzed Cyclohydrocarbonylation



SCHEME 3^a



^{*a*}Reagents and conditions: (a) Rh(acac)(CO)₂ (0.5 mol %), BIPHE-PHOS (1.0 mol %), CO (2 atm), H₂ (2 atm), toluene, 60 °C, overnight (~16 h); (b) Et₃SiH (3.0 equiv), BF₃ OEt₂·(3.0 equiv), CH₂Cl₂, -78 °C, 16 h; (c) (a) in MeOH; (d) Pd/C (5 mol %), H₂ (2 atm), MeOH, rt, 6 h.

With olefin 4a in hand, cyclohydrocarbonylation was carried out with low loading of Rh-BIPHEPHOS (0.5 mol %) at 60 °C under 4 atm of CO and $H_2(1:1)$ in toluene to give a crude product. A ¹H NMR analysis⁹ showed amidal **5a** was the major product, accompanied by a small amount of enecarbamate 6a (5a/6a = 2.5:1). However, after flash chromatography on silica gel, encarbamate 6a was obtained in 76% isolated yield, while bicycloamidal 5a in 18% yield. It was obvious that isomerization proceeded between 5a and 6a during purification.¹⁰ Subsequent reduction of bicycloamidal 5a with triethylsilane-boron trifluoride etherate at -78 °C afforded functionalized piperidine derivative 7a in 94% yield. To surmount the low yield problem, methanol was used as the solvent because it would not form 6a. Thus, switch of toluene to methanol in the cyclohydrocarbonylation reaction, followed by direct treatment of the crude product with reducing agents, afforded piperidine 7a in 91% yield over two steps. The identical two-step reaction sequence was applied to convert olefin 4b to piperidine 7b in

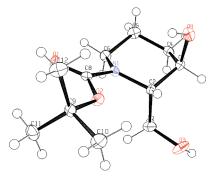


FIGURE 1. ORTEP drawing of diol 8a showing 50% probability.

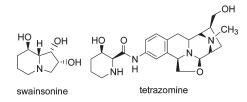


FIGURE 2. Swainsonine and tetrazomine.

78% yield (Scheme 3). We tried to assign the absolute structure of **7a** by thorough NMR analyses. The ROESY pointed out clearly a strong signal between H-2 and H-3. It implied that the relative configuration of **7a** was either a *cis* arrangement or a *trans* arrangement in which both of two substituents were located at pseudodiaxial orientations.

The structure of **7a** was determined by the X-ray crystallography of benzyl-free derivative **8a**, synthesized by catalytic hydrogenolysis of **7a** with palladium on carbon, affording diol **8a** in 90% yield. Solid product **8a** was recrystallized from chloroform—heptane to give a crystal suitable for the X-ray analysis (Figure 1).

It clearly revealed that the hydoxymethyl group at C-2 and the hydroxyl group at C-3 were located at the pseudoaxial positions of the piperidine, indicating both of H-2 and H-3 adopted pseudodiequatorial orientations. Such a preference for the diaxial conformation was attributed to a strong $A^{1,3}$ strain exerted by the C–N bond,^{11,12} possessing partial double bond characters in the carbamate group. Since the absolute configuration of diol **8a** had been determined, parent olefin **4a** was unambiguously assigned as an *anti*-(2*S*,3*R*) configuration.

Piperidine **7a** was a versatile building block for syntheses of indolizidines or piperidines. For example, after removal of the Boc protecting group, diol **8a** could be converted to the enantiomer of 4-deoxyfagomine, an analogues of fagomine found as a potent antihyperglycemic compound.¹³ Swainsonine, an inhibitor for mannosidase II, was synthesized from **7a** in five additional steps.¹⁴ In addition, found in part of a variety of bioactive compounds, 3-hydroxypipecolate derivatives were valuable intermediates to synthesize antitumor antibiotics, such as tetrazomine (Figure 2). We described the

⁽⁹⁾ A DFT-B3LYP/6-31G* calculation by Spartan 08 indicated **5a** is more stable than **6a** by 8.9 kcal/mol in vacuo and by 8.2 kcal/mol in toluene using the SM8 model.

⁽¹⁰⁾ We did an experiment to confirm the isomerization: treatment of pure amidal **5** in the mixture solution of ethyl acetate and chloroform (v/v = 1:1) containing silica gel resulted in the formation of encarbamate **6a** (**5a**/**6a** $\sim 1:1$) by ¹H NMR analysis.

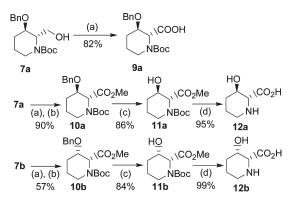
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SCHEME 4^a



^{*a*}Reagents and conditions: (a) TEMPO (20 mol %), KBr (0.5 equiv), NaOCl (6.0 equiv), NaHCO₃, acetone, 4 °C, 3 h; (b) CH_2N_2 ; (c) Pd/C (5 mol %), H_2 (2 atm), MeOH, rt, 4 h; (d) (i) 6 N HCl, reflux, (ii) propylene epoxide, EtOH, reflux.

syntheses of 3-hydroxypipecolate derivatives from piperidines **7** as follows.¹⁵

TEMPO-catalyzed bleach reaction¹⁶ provided a facile way to oxidize the hydroxymethyl group to corresponding carboxylic acid 9a. We attempted catalytic hydrogenation to remove the benzyl group of 9a, but the reaction proceeded too slow to give the desired product. Thus, the synthesis was modified by treatment of resulting crude carboxylic acid 9a with diazomethane, affording methyl ester **10a** in 90% yield over two steps. Subsequent debenzylation with hydrogen in the presence of palladium on carbon gave free alcohol 11a in 86% yield. Global removal of the methyl ester and the BOC protecting group in an acidic condition, followed by treatment with propylene oxide to neutralize excess hydrogen chloride gave trans-(2R,3R)-3-hydroxypipecolic acid (12a) in 95% yield, whose structure was unambiguously elucidated by NMR analyses. This protocol has proven to be applicable in the synthesis of the other diastereomer. Therefore, the synthesis of cis(2R,3S)-3-hydroxypipecolic acid (12b) was readily achieved using the same procedure (Scheme 4).

In conclusion, we have developed an effective methodology for the synthesis of enantiopure 3-hydroxypipecolic acid (**12a** and **12b**) via a useful building block 7, which is also a precursor to synthesize swainsonine. Either **7a** or **7b** is easily synthesized from Garner's aldehyde in an enantiopure form through cyclohydrocarbonylation. We are currently applying this methodology toward other azasugars.

Experimental Section

2-tert-Butyloxycarbonylamino-3-benzyloxypent-4-en-1-ol (4). To a THF solution (40 mL) of Garner's aldehyde 1 (1.053 g, 4.59 mmol, 1.00 equiv) under nitrogen at -30 °C was added dropwise a vinyl magnesium bromide THF solution (0.7 M, 13.1 mL, 9.17 mmol, 2.0 equiv) via a syringe over 30 min. The reaction mixture was allowed to warm to 0 °C and then stirred in an ice bath overnight (\sim 17 h). The reaction was monitored by TLC analysis. Upon completion of the reaction, the reaction mixture was poured into a chilled aqueous NH₄Cl solution (20 mL). After separation of the organic layer, the aqueous layer was extracted with ethyl acetate (50 mL \times 4). The combined organic layers were washed with brine (50 mL), dried over Na₂SO₄, and then concentrated under reduced pressure to give a crude product. The crude product was purified by flash chromatography on silica gel using ethyl acetate/n-hexane ($R_f =$ 0.37, ethyl acetate/*n*-hexane = 1/3) as the eluant to give product 2 as a colorless oil (1.026 g, 87%): GC-MS condition: Set the initial temperature 50 °C and heating rate as 25 °C per minute to 200 °C and then changed the rate to 10 °C per minute until the temperature was up to 280 °C and kept the temperature at 280 °C for 2 min, $t_{\rm R}$: 8.82 min GC–MS m/z (relative intensity, ion): 242 (<1, M⁺ – Me), 200 (30, oxazolidinyl), 57 (100, *t*-Bu).

To a THF solution (60 mL) of alcohol 2 (2.753 g, 10.7 mmol, 1.00 equiv) in an ice bath under nitrogen was added dropwise sodium hydride (60%, 769 mg, 19.2 mmol, 1.8 equiv). After the solution was stirred in an ice bath for 30 min, benzyl bromide (1.9 mL, 15.9 mmol, 1.5 equiv) was added by a syringe, followed by addition of 18-crown-6 ether (1.410 g, 5.3 mmol, 0.5 equiv). The reaction mixture was allowed to warm to room temperature and then stirred for 5 h. The reaction was monitored by TLC analysis. Upon completion of the reaction, the reaction mixture was poured into a chilled aqueous NH₄Cl solution (100 mL). After separation of the organic layer, the aqueous layer was extracted with ethyl acetate ($100 \text{ mL} \times 4$). The combined organic layers were washed with brine (100 mL), dried over Na₂SO₄, and then concentrated under reduced pressure to give a crude residue. The crude product was purified by flash chromatography on silica gel using ethyl acetate/n-hexane ($R_f = 0.71$, ethyl acetate/*n*-hexane = 1/3) as the eluant to give product **3** as a colorless oil (3.271 g, 88%).

To a MeOH solution (15 mL) of oxazolidine **3** (400 mg, 1.15 mmol, 1.00 equiv) in an ice bath under nitrogen was added PTSA (27 mg, 0.14 mmol, 13 mol %). The reaction mixture was stirred at room temperature for 8 h and monitored by TLC analysis. Upon completion of the reaction, the reaction mixture was concentrated under reduced pressure to give a crude syrup. The crude product was purified by flash chromatography on silica gel using ethyl acetate/*n*-hexane (1/12) as the eluant to give major product **4a** (238 mg, 67%, $R_f = 0.48$, ethyl acetate/*n*-hexane = $1/3 \times 3$) and minor product **4b** (54 mg, 15%, $R_f = 0.41$, ethyl acetate/*n*-hexane = $1/3 \times 3$). HPLC condition: Supelcosil LC-SI, 250 mm × 4.6 mm, 5 μ m; 1 vol % IPA in hexane/hexane = 1/1; flow rate 1.5 mL per min; detection UV 210 nm; t_R : 41 min for **4a**; t_R : 54 min for **4b** (**4a**:**4b** = 4.0:1).

(25,3*R*)-4 (4a): white solid; mp 58–59 °C; $[\alpha]^{23}_{D}$ –66.6 (*c* 2.06, CHCl₃) [lit.¹⁷ [α]²⁵_D–51.8 (*c* 0.953, CHCl₃)]; ¹H NMR (600 MHz, 25 °C, CDCl₃, δ) 1.40 (s, 9H, –C(CH₃)₃), 2.62 (brs, –OH), 3.58–3.66 (m, 2H, H-1 and H-2), 3.90–3.93 (m, 1H, H-1), 4.03–4.08 (m, 1H, H-3); 4.30 (d, J = 12.0 Hz, 1H, –OCH₂Ph), 4.61 (d, J = 12.0 Hz, 1H, –OCH₂Ph), 5.27 (d, J = 7.2 Hz, 1H, –NHBoc), 5.33–5.38 (m, 2H, H-5), 5.65 (ddd, J = 7.8, 10.8, 18.0 Hz, 1H, H-4), 7.25–7.33 (m, 5H, –Ph); ¹³C NMR (100 MHz, 25 °C, CDCl₃, δ) 28.3 (q, –C(CH₃)₃), 54.4 (d, C-2), 61.9 (t, C-1), 71.0 (t, –OCH₂Ph), 79.4 (s, –OC(CH₃)₃),

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81.9 (d, C-3), 119.2 (t, C-5), 127.7 (d, *o*-Ph), 127.8 (d, *p*-Ph), 128.4 (d, *m*-Ph), 135.0 (d, C-4), 137.6 (s, *ipso*-Ph), 155.9 (s, O-CO-N); HRMS-FAB (*m*/*z*) [M + H]⁺ calcd for $C_{17}H_{25}NO_4 \cdot H^+$ 308.1862, found 308.1852 ($\Delta = 3.3$ ppm).

(2S,3R)-1-tert-Butyloxycarbonyl-2-hydroxymethyl-3-benzyloxypiperidine (7a). Rh(acac)(CO)₂ (1.1 mg, $4.2 \,\mu$ mol, 0.5 mol %) and BIPHEPHOS (6.4 mg, 8.1 µmol, 1.0 mol %) were dissolved in MeOH (2 mL) under nitrogen. The resulting catalyst solution was degassed by a freeze-thaw procedure at least three times. Olefin 4a (250 mg, 0.813 mmol, 1.00 equiv) was placed in a 50 mL flask. The catalyst solution was transferred to the reaction flask containing the substrate by a pipet, and then the total volume was adjusted to 16 mL with MeOH. The reaction flask was placed in a 300 mL stainless steel autoclave and then was pressurized with CO (2 atm) followed by H_2 (2 atm). The reaction mixture was stirred at 60 °C for 16-20 h. Upon completion of the reaction, the gas was carefully released in a good ventilated hood. The reaction mixture was concentrated under reduced pressure to give a crude product, and then diluted with CH₂Cl₂ (15 mL). To the CH₂Cl₂ solution was added dropwise triethylsilane (Et₃SiH, 390 µL, 2.44 mmol, 3.0 equiv) followed by boron trifluoride etherate (BF₃·OEt₂, 309 μ L, 2.44 mmol, 3.0 equiv). The reaction mixture was allowed to be stirred at -78 °C overnight (~16 h). The reaction was monitored by TLC analysis. Upon completion of the reaction, a saturated NaHCO₃ solution (5 mL) was slowly added into the reaction mixture so that the temperature was kept below -60 °C and then warmed to room temperature. After separation of the organic layer, the aqueous layer was extracted with ethyl acetate (10 mL \times 4). The combined organic layers were washed with brine (10 mL), dried over Na₂SO₄, and then concentrated under reduced pressure to give a crude residue. The crude product was purified by flash chromatography on silica gel using ethyl acetate/*n*-hexane ($R_f = 0.49$, ethyl acetate/*n*-hexane = $1/3 \times$ 3) as the eluant to give product 7a as a colorless oil (239 mg, 91%): $[\alpha]_{D}^{23}$ +39.5 (c: 1.73, CHCl₃) [lit.¹² $[\alpha]_{D}^{20}$ -40.1 (c 0.9, CHCl₃)]; ¹H NMR (600 MHz, 25 °C, CDCl₃, δ) 1.36–1.40 (m, 1H, H-5 α), 1.44 (s, 9H, $-C(CH_3)_3$), 1.54–1.60 (m, 1H, H-4 α), 1.83–1.93 (m, 2H, H-4β and H-5β), 2.33 (brs, 1H, –OH), 2.86 $(t, J = 12.6 \text{ Hz}, 1\text{H}, \text{H-}6\alpha), 3.56 (d, J = 2.4 \text{ Hz}, 1\text{H}, \text{H-}3), 3.61$ (dd, J = 6.0, 10.8 Hz, 1H, H-7), 3.74 (dd, J = 8.4, 10.8 Hz, 1H,H-7), 3.96 (brs, 1H, H-6 β), 4.48 (d, J = 12.0 Hz, 1H, $-OCH_2$ -Ph), 4.50 (t, J = 6.0 Hz, 1H, H-2), 4.61 (d, J = 11.4 Hz, 1H, -OCH₂Ph), 7.23-7.33 (m, 5H, -Ph); ¹³C NMR (100 MHz, 25 °C, CDCl₃, δ) 19.5 (t, C-5), 25.1 (t, C-4), 28.3 (q, -C(CH₃)₃), 39.6 (t, C-6), 55.5 (d, C-2), 60.5 (t, C-7), 70.0 (t, -OCH₂Ph), 71.5 (d, C-3), 79.8 (s, $-OC(CH_3)_3$), 127.3 (d, *p*-Ph), 127.4 (d, *o*-Ph), 128.2 (d, m-Ph), 138.6 (s, ipso-Ph), 156.3 (s, O-CO-N); HRMS-FAB (m/z) [M + H]⁺ calcd for C₁₈H₂₇NO₄·H⁺ 322.2018, found $322.2007 (\Delta = 3.4 \text{ ppm}).$

(2R,3R)-1-*tert*-Butyloxycarbonyl-2-methoxycarbonyl-3-benzyloxypiperidine (10a). To a solution of alcohol 7a (337 mg, 1.05 mmol, 1.00 equiv) in acetone (8 mL) in an ice bathwere added an aqueous NaHCO₃ solution (5%, 8 mL), KBr (60 mg, 0.5 mmol, 0.5 equiv), and tetramethylpiperidine nitroxyl free radical (TEMPO, 30 mg, 0.20 mmol, 0.20 equiv). Then, a commercial bleach solution (4.5 mL, 1.0 M by titration, 4.5 mmol, 3.2 equiv) was added dropwise via a syringe over 5 min. The solution became white cloudy. After the solution was stirred for 1 h in an ice bath, additional NaHCO₃ (5%, 8 mL) and additional bleach (4.5 mL, 1.0 M, 4.5 mmol, 3.2 equiv) were added. The reaction mixture was stirred in an ice bath for another 1 h. Concentration of the reaction mixture under reduced pressure to remove volatile substances gave a clean aqueous solution. The aqueous solution was washed with ether (10 mL) and covered with ethyl acetate (20 mL). The solution with two phases was acidified with an aqueous KHSO4 solution (2 M) in an ice bath until pH became 2-3. A white precipitate was observed during acidification. After separation of the organic layer, the resulting aqueous layer was extracted with ethyl acetate (30 mL \times 4). The combined organic layers were washed with brine (10 mL), dried over Na₂SO₄, and then concentrated to give crude acid 9a. To the solution of crude acid 9a in MeOH (~0.1 M) was added an ether solution of diazomethane slowly until a yellow color persisted. Concentration under reduced pressure gave a yellow crude syrup. The crude product was purified by flash chromatography on silica gel using ethyl acetate/n-hexane ($R_f = 0.38$, ethyl acetate/nhexane = 1/3) as the eluant to give product **10a** as a colorless oil (330 mg, 90% yield over two steps): $[\alpha]_{D}^{25}$ +2.1 (*c* 0.92, CHCl₃); ¹H NMR (600 MHz, 50 °C, CDCl₃, δ) 1.34–1.52 (m, 2H, H-4 and H-5), 1.45 (s, 9H, -C(CH₃)₃), 1.87-1.96 (m, 2H, H-4 and H-5), 3.00 (brs, 1H, H-6), 3.73 (s, 3H, -CO₂CH₃), 4.02 (brs, 1H, H-6), 4.05 (brs, 1H, H-3), 4.51 (d, J = 12.0 Hz, 1H, $-OCH_2Ph$), 4.64 (d, J = 12.0 Hz, 1H, $-OCH_2Ph$), 5.15 (brs, 1H, H-2), 7.24-7.36 (m, 5H, -Ph); ¹³C NMR (150 MHz, 50 °C, CDCl₃, δ) 18.7 (t, C-5), 26.1 (t, C-4), 28.3 (q, -C(CH₃)₃), 41.5 (t, C-6), 52.0 (q, -CO₂CH₃), 57.0 (d, C-2), 70.6 (t, -OCH₂Ph), 72.4 (d, C-3), 80.1 (s, -OC(CH₃)₃), 127.4 (d, o-Ph and p-Ph), 128.3 (d, m-Ph), 138.3 (s, ipso-Ph), 155.9 (s, O-CO-N), 170.8 (s, C-7); EI-HRMS (m/z) [M]⁺ calcd for C₁₉H₂₇NO₅⁺ 349.1889, found 349.1892 $(\Delta = 0.9 \text{ ppm}).$

(2*R*,3*R*)-3-Hydroxypipecolinic acid (12a). A solution of methyl ester 11a (48 mg, 0.19 mmol, 1.00 equiv) in a hydrochloric acid solution (6 N, 4 mL) was stirred under reflux for 1 h and then concentrated under reduced pressure to give a residue. Reflux of the crude product in EtOH (3 mL) and propylene oxide (0.35 mL) gave a brown precipitate. Filtration followed by washing with cold ether afforded the titled product as a light brown solid (27 mg, 0.18 mmol, 95%): mp 241 °C dec [lit.^{15c} mp 234–239 °C dec; $[\alpha]^{25}_{D}$ –15.9 (*c* 2.70, H₂O); lit.^{15k} $[\alpha]^{28}_{D}$ –12.9 (*c* 1.0, H₂O)]; ¹H NMR (600 MHz, 25 °C, D₂O, δ) 1.64–1.76 (m, 2H, H-4 and H-5), 1.90–1.96 (m, 1H, H-4), 1.97–2.04 (m, 1H, H-5), 3.09 (ddd, *J* = 3.6, 8.4, 12.0 Hz, 1H, H-6), 3.35 (ddd, *J* = 4.2, 7.2, 12.0 Hz, 1H, H-6), 3.61 (d, *J* = 7.2 Hz, 1H, H-2), 4.14 (ddd, *J* = 3.0, 7.2, 7.2 Hz, 1H, H-3); ¹³C NMR (150 MHz, 25 °C, D₂O, δ) 18.4 (t, C-5), 28.3 (t, C-4), 42.5 (t, C-6), 62.0 (d, C-2), 66.0 (d, C-3), 172.1 (s, C-7). HRMS-FAB (*m*/*z*) [M + H]⁺ calcd for C₆H₁₁NO₃·H⁺ 146.0817, found 146.0810 (Δ : 4.8 ppm).

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Supporting Information Available: Experimental procedure, characterization data, as well as ¹H and ¹³C NMR spectra for all compounds and the crystallographic data of **8a**. This material is available free of charge via the Internet at http:// pubs.acs.org.